PTC/SB/08a (08-03.)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Filing Date		
	First Named Inventor	Hobs	on, David
	Art Unit		
	Examiner Name		
	Attorney Docket Numb	er	3345-01

				U.S	PATENTS		Remove	
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Releva		Lines where es or Relevant
	1	2394907	B2	1946-02-12	Wilfred Gallay et al.			
	2	2434539	B2	1948-01-13	Alan Beerbower et al.			
	3	3525599	B2	1970-08-25	Gerald L. Nield et al.			
	4	3547605	B2	1970-12-15	Thomas E. Comelius III et al.			
	5	4200544	B2	1980-04-29	John W. Forsberg			
If you wisl	n to ac	ldd additional U.S. Patei	nt citatio	n information p	please click the Add button.		Add	
		4	U.S.P	ATENT APPL	ICATION PUBLICATIONS		Remove	
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Releva	Columns,l nt Passag s Appear	Lines where es or Relevant
	1							
If you wisl	n to a	 dd additional U.S. Publi	l shed Ap	l plication citation	n information please click the Ado	l d button	Add	
				FOREIGN PA	TENT DOCUMENTS		Remove	

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number			
Filing Date			
First Named Inventor	Hol	oson, David	
Art Unit			
Examiner Name		1 44 1	
Attorney Docket Numb	er	3345-01	

	No	Number <sup>3</sup>	Country Code <sup>2</sup> j	Kind Code <sup>4</sup>	Publication Date	Applicant of cited Document	where Relevant Passages or Relevant Figures Appear	<b>T</b> 5
	1	0288 296	EP	A1	1988-10-26	BP Chemicals (Additives) Limited		
	2	1061161	GB		1967-03-08	Basic Incorporated		
	3	1180533	GB		1967-06-23	Canadian Patents And Development Limited		
	4	1315304	GB		1973-05-02	Coalite And Chemical Products Limited		
	5	2004/026996	wo	A1	2004-04-01	Systemseparation Sweden AB		
lf you wish	to ac	l dd additional Foreign f			information p	lease click the Add butto CUMENTS	Remove	
	Cite No		rnal, serial, sy	mposium, e	catalog, etc),	the article (when approp date, pages(s), volume-i		<b>T</b> 5
	1							
lf you wish	to ac	 dd additional non-pate	nt literature do	ocument cit	ation informat	tion please click the Add	button Add	
				EXAMINE	R SIGNATUR	RE		
Examiner S	Signa	ture				Date Considered		

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		
First Named Inventor	Hobson, David	V [
Art Unit		
Examiner Name	print and printed	
Attorney Docket Number	r 3345-01	

<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number			
Filing Date			
First Named Inventor	Hobse	on, David	The state of the s
Art Unit			
Examiner Name			
Attorney Docket Numb	er	3345-01	

		CEI	RTIFICATION STATEMENT	
Please	see 37 CFR 1	.97 and 1.98 to make the appro	priate selection(s):	
☐ fro	om a foreign p		information disclosure statement was preign application not more than three 1.97(e)(1).	
OR				
for aft	reign patent o ter making rea ny individual d	ffice in a counterpart foreign a sonable inquiry, no item of info	nformation disclosure statement was pplication, and, to the knowledge of tl rmation contained in the information d nore than three months prior to the f	he person signing the certification lisclosure statement was known to
☐ Se	ee attached ce	rtification statement.		
_ ☐ Fe	e set forth in 3	37 CFR 1.17 (p) has been subm	itted herewith.	
<b>√</b> No	one			
-	ature of the ap	·	SIGNATURE uired in accordance with CFR 1.33, 10.	18. Please see CFR 1.4(d) for the
Signatu	ıre	/Samuel B. Laferty/	Date (YYYY-MM-DD)	2006-08-31
Name/F	Print	Samuel B. Laferty	Registration Number	31,537

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.